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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 5

Sheet 1

Co	mplete if Known
Application Number	Not Known Yet 10/810,385
Filing Date	03/26/2004
First Named Inventor	Ming Lu
Art Unit	Not Known Yet 1756
Examiner Name	Not Known Yet Ruggles
Attorney Docket Number	N1085-00222

				DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JSR	AA	^{US-} 4,340,654	07-20-1982	Campi	
Ī	AB	^{US-} 4,609,556	09-02-1986	Goedert	
	AC	US- 4,778,693	10-18-1988	Drozdowicz et al.	
	AD	^{US-} 4,906,326	03-06-1990	Amemiya et al.	
-1	AE	^{US-} 4,968,390	11-06-1990	Bard et al.	
	AF	^{US-} 5,018,212	05-21-1991	Manns et al.	
	AG	^{US-} 5,035,787	07-30-1991	Parker et al.	
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	AJ	^{US-} 5,208,125	05-04-1993	Lowrey et al.	
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	AP	us- 5,460,908	10-24-1995	Reinberg	
	AQ	^{US-} 5,569,392	10-29-1996	Miyoshi et al.	
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JSR	AS	^{US-} 5,615,954	04-01-1997	Nishizawa et al.	

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Examiner Initials*	No.	Foreign Patent Document Country Code ¹ Number * Word Code ⁴ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
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Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known **Application Number** Not Known Yet- 10/810,385 Filing Date 03/26/2004 First Named Inventor Ming Lu et al. Art Unit Not Known Yet 1756 **Examiner Name** Not Known Yet. **Attorney Docket Number** N1085-00222

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (F known)}	Publication ()ate MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JSR	AT	^{US-} 5,618,760	04-08-1997	Soh et al.	
	AU	US- 5,623,365 31	04-22-1997	Kuba	
	AV	US- 5,667,919 22	09-16-1997	Tu et al.	
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	AY	^{US-} 5,798,193	08-25-1 9 98	Pierrat et al.	
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	ВА	^{US-} 5,881,125	03-09-1999	Dao	
	8B	^{US-} 5,948,468	09-07-1999	Sweatt et al.	
	ВС	us- 5,955,222	09-21-1999	Hibbs et al.	
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	BE	^{US-} 5,965,303	10-12-1999	Huang	
	BF	^{US-} 6,030,731	02-29-2000	Yang	
	BG	US- 6,037,087	03-14-2000	Pierrat et al.	
	вн	us- 6,042,738	03-28-2000	Casey, Jr. et al.	
	ВІ	us- 6,096,459	08-1-2000	Yang	
	BJ	^{US-} 6,103,430	08-15-2000	Yang	
	ВК	^{US-} 6,106,980	08-22-2000	Pierrat et al.	
TSR	BL	^{US-} 6,114,073	09-05-2000 \	Yang	

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Examiner Initials*	No.1	Foreign Patent Document Country Code ³ Number ** Start Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes Where Relevant Passages Of Relevant Figures Appear	T ⁶
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Examiner Signature		heges		Date Considered	8/14/06	

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Complete if Known Substitute for form 1449/PTO **Application Number** Not Known-Yet 10/810,385 Filing Date 03/26/2004 INFORMATION DISCLOSURE First Named Inventor Ming Lu et al. STATEMENT BY APPLICANT Art Unit Not Known Yet (Use as many sheets as necessary) Examiner Name Net Known Yet Attorney Docket Number N1085-00222 Sheet 3

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (F Innown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JSR	ВМ	US- 6,197,455	03-06-2001	Yedur et al.	
	BN	^{US-} 6,262,791	07-17-2001	Powell	
	во	^{US-} 6,277,526	08-21-2001	Yang	
	BP	US-6,291,115	09-18-2001	Yang	
	BQ	US- 6,292,297	09-18-2001	Danziger et al.	
	BR	US- 6,304,316	10-16-2001	Jain et al.	
	BS	US- 6,322,935	11-27-2001	Smith	
	ВТ	US- 6,335,129	01-01-2002	Asano et al.	
Ì	BU	^{US-} 6,346,352	02-12-2002	Hayden et al.	
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	BW	US- 6,366,404	04-02-2002	Hiraiwa et al.	
	BX		04-09-2002	Danziger et al.	
	BY	us-6,373,976	04-16-2002	Pierrat et al.	
	BZ	US- 6,391,217	05-21-2002	Schaffer et al.	
	CA	US-6,440,615 .	08-27-2002	Shimizu	
	СВ	us-6,447,962 🚓	09-10-2002	Yang	
	CC	us- 6,462,874		Soskind	
	CD	^{US-} 6,480,263	11-12-2002	Smith	
JSR	CE	US- 6,506,525	01-14-2003	Choi et al.	

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Examinar Initials*	Cite No.	Foreign Patent Document Country Code Number 1 Sind Code (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Linee, Where Relevant Passages Or Relevant Figures Appear	7⁴
Examiner Signature	T ()	du Riggles		Date Considered	8/14/06	_

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Substitute for form 1449/PTO	Co.	mplete if Known
	Application Number	Not Known Yet 19/810885
INFORMATION DISCLOSURE	Filing Date	03/26/2004
	First Named Inventor	Ming Lu
	Application Number Net Known Yet 10/810385 Filing Date 03/26/2004 First Named Inventor Ming Lu Art Unit Not Known Yet 1756 Examiner Name Not Known Yet 1756	
(Use as many sheets as necessary)	Examiner Name	
Sheet 4 of 5	Attorney Docket Number	

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Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JSR	CF	us-6,524,756 57	02-25-2003	Wu	
TER	-CG		03-18-2003	Lee et al.	
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Examiner Date

Examiner Signature

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STA	STATEMENT BY APPLICANT				First Named Inventor	Ming Lu
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Sheet	5		of	5	Attorney Docket Number	N1085-00222
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
TER	сн	Lalanne, Philippe et al, "The optical properties of artificial media structured at a Sept. 200 subwavelength scale", Floating Images Ltd., Hampton, TW12 3JU, United Kingdom	
	СІ	Ohlidal, I. et al., "Matrix Formalism For Imperfect Thin Films", Acta Physica Slovaca, Vol. 50, No. 4, Pages 489-500, August 2000	
TSR	Cl	Richter, Ivan et al., "Design considerations of form birefringent microstructures", Applied Optics, Vol. 34, No. 14, Pages 2421-2429, May 10, 1995	
Examiner Signature		Date Considered 8/14/06	

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